

# Administrative Fees

| ITEM   | FEE                 |
|--|---------------------|
| Account Transfer-In                          | FREE                |
| RRSP, Spousal RRSP, LIRA, RIF & LIF          | FREE                |
| TFSA   | FREE                |
| Deposit-Bill Payment (CAD Only)              | FREE*               |
| Deposit-Wire Transfer (CAD/USD)              | FREE*†              |
| Withdrawal-Electronic Fund Transfer (EFT)    | FREE*††             |
| Partial Transfer out                         | \$50 per account    |
| Account Transfer Out                         | \$150 per account   |
| Withdrawal-Wire Transfer (CAD/USD)-Canada    | \$50*†              |
| Withdrawal-Wire Transfer (USD)-International | \$100*              |
| DAP (C.O.D) Transfer In/Out                  | \$50 per name       |
| Courier (Canada)                             | \$45                |
| Courier (US)                                 | \$75                |
| Courier (International)                      | \$100               |
| Registered Mail                              | \$20                |
| US RRSP, US Spousal RRSP & US LIRA           | US \$15 per quarter |
| UK QROPS                                     | US \$150 per year   |

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|--|------------------------------|
| US TFSA  | US \$15 per quarter          |
| RESP   | \$25 per year                |
| US RESP  | US \$15 per quarter          |
| Account Administration Fee                     | \$24.95 per quarter†††       |
| Partial Deregistration Fee                     | \$25††††                     |
| Full Deregistration Fee                        | \$50††††                     |
| Lifelong learning plan (LLP)                   | \$25                         |
| Home buyer's plan (HBP) partial deregistration | \$25                         |
| Position Journal                               | \$25*                        |
| DRS (Direct Registration System)               | \$200 USD per name           |
| Certificate Deposit/Withdrawal                 | \$200 per Certificate / Name |
| Certificate Deposit/Withdrawal Rush            | \$450 per Certificate / Name |
| Safekeeping of Certificate                     | \$50 per certificate / Month |
| Research Request (Minimum 1 hour)              | \$75 per hour                |
| Electronic Trade Confirmation (e-Confirmation) | FREE                         |
| Cheque Cancellation Fee                        | \$50                         |
| Electronic Monthly Statement (e-Statement)     | FREE                         |

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| ITEM                                     | FEE                        |
|--|----------------------------|
| Paper Trade Confirmation                 | \$3                        |
| Paper Monthly Account Statement          | \$5                        |
| Copy of Tax Statement                    | \$35 per account per year  |
| Copy of Monthly Statement                | \$20 per account per month |
| Option exercise/assignment               | \$40                       |
| NSF Cheque/Returned Items                | \$50                       |
| Stop Payment                             | \$25                       |
| Unclaimed property                       | \$29.95 per quarter        |
| Estate transfer                          | \$250 per account          |
| Legend Removal Rule 144/33               | \$300 per name             |
| Settlement of non-DTCeligible securities | \$450                      |

*\* For cash or position movement from/to Commission-Free Account, \$25 will be charged in addition to Regular Fees.*

**All administrative fees are in the currency of the applicable account.**

† You may be charged by your financial institution for wiring out or receiving a wire.

†† Up to \$25,000 CDN/USD per day.

††† \$24.95 per quarter will be charged to each client's account if their combined total assets are lower than \$5,000 or they do not complete at least one trade with a minimum commission of \$1.99 per calendar quarter. Clients will be exempt from the account administration fee if they are under 26 years old or if their account is either a Commission-Free Trading Account, RESP account or a registered charity. If, in the following quarter after incurring the account administration fee, a client trades at least one trade with a minimum commission \$1.99, commissions will be reimbursed up to \$24.95 provided that the account remains opened at the end of the following quarter.

†††† Deregistration of TFSA withdrawals and RRIF minimum annual withdrawals are FREE.